

SEMINAR PROGRAMME

		Anti-Money Laundering (AML) for Regulated Firms – Implication in Practice
Time	Duration	Description
09:00 – 09:15	0:15	Introduction: Introduction (Ice-Breaker - student introduction)
09:15 – 10:45	1:30	The Regulatory Authorities: <ul style="list-style-type: none"> ▪ The Regulatory Authorities Stance ▪ The Regulatory Monitoring Visit
10:45-11:00	0:15	COFFEE BREAK
11:00-12:45	1:45	Risk Based Approach: <ul style="list-style-type: none"> ▪ Politically Exposed Persons (PEPs) ▪ High Risk Countries (x3 Lists) ▪ Customer Risk Factors ▪ Countries and Geographical Areas Risk Factors ▪ Products, Services and Transactions Risk Factors ▪ Delivery Channel Risk Factors
12:45-13:30	0:45	LUNCH BREAK
13:30-15:00	1:30	Client Acceptance and Ongoing Monitoring 1: <ul style="list-style-type: none"> ▪ Identification ▪ Verification ▪ Simplified Due Diligence (SDD) ▪ Customer Due Diligence (CDD) ▪ Enhanced Due Diligence (EDD)
15:00 – 15:30	0:30	Client Acceptance and Ongoing Monitoring 2: <ul style="list-style-type: none"> ▪ Economic Profile ▪ Transaction Monitoring Upcoming Developments: <ul style="list-style-type: none"> ▪ EU 5th AML Directive ▪ EU 6th AML Directive
15:30 - 15:45	0:15	COFFEE BREAK
15:45 - 17:00	1:15	(Continued) Client Acceptance and Ongoing Monitoring 2: <ul style="list-style-type: none"> ▪ Economic Profile ▪ Transaction Monitoring Upcoming Developments: <ul style="list-style-type: none"> ▪ EU 5th AML Directive ▪ EU 6th AML Directive
17:00 – 17:15	0:15	Summary Questions and Answers Conclusion Evaluation of the seminar and lecturer by participants
Total Net Duration	7:00	END OF SEMINAR